

## EAST SUSSEX FIRE AUTHORITY

**Panel:** Scrutiny and Audit  
**Date** 30 January 2020  
**Title of Report** 2019/20 Third Quarter Corporate Risk Register Review  
**By** Assistant Director Resources/Treasurer  
**Lead Officer** Warren Tricker, Finance Manager

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**Background Papers** Scrutiny and Audit Panel 25 July 2019, 2019/20 Second Quarter Corporate Risk Register Review, Project 21 Risk Report

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**Appendices** Appendix A RAID Log Scoring Matrix  
Appendix B Corporate Risk Register and Mitigation Plans

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<b>CORPORATE RISK</b>	√	<b>LEGAL</b>	
<b>ENVIRONMENTAL</b>		<b>POLICY</b>	
<b>FINANCIAL</b>		<b>POLITICAL</b>	
<b>HEALTH &amp; SAFETY</b>		<b>OTHER (please specify)</b>	
<b>HUMAN RESOURCES</b>		<b>CORE BRIEF</b>	
<b>EQUALITY IMPACT ASSESSMENT</b>			

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**PURPOSE OF REPORT** To report on the latest quarterly review of Corporate Risk

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**EXECUTIVE SUMMARY** The Authority has in place established procedures for ensuring that corporate and business risks are identified and managed. The Corporate Risk Register was refreshed early in 2018/19 with support from external risk experts.

Risks are scored against a 4x4 scoring matrix as shown in Appendix A.

The review of corporate risks is an ongoing process and reports are presented on a quarterly basis. The updated position is shown in Appendix B.

The process for reporting Project Risks is being changed. Such risks will be reported through the Programme Management Officer and escalated to the Corporate Risk Register when relevant.

This report discusses the second quarter position. It shows the Panel the business risks identified and how they have or are being mitigated.

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**RECOMMENDATION**

The Panel is recommended to:

- a) Note the Q3 Corporate Risk Register including changes made since Q2,
  - b) Agree the proposed removal of Risk 12 UK exit from the EU, and
  - c) Note the refocussing of R8 Effective mobilising on service delivery rather than Project 21
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## **1. INTRODUCTION**

- 1.1 This report brings the Third quarter Corporate Risk Management Mitigation Plan. Corporate business risks are considered by SLT quarterly and reported to Scrutiny and Audit Panel for consideration.

## **2. FOR INFORMATION**

### **2.1 Refocusing of R8 Effective Mobilising**

- 2.1.1 Comments from Scrutiny and Audit Panel meeting Q2, highlighted the aggregation with this risk of the ability to mobilise in the current arrangement with the risks to the successful delivery of the Project 21.
- 2.1.2 The risk has been refocussed solely on delivery of the mobilising service through ESFC with risks associated SCC Phase 2, WSFRS Exit and Project 21 removed. SLT will consider whether a separate risk should be added to the register for Project 21 and a verbal update will be provided at the Panel meeting.
- 2.1.3 Mitigations delivered have reduced the risk of effective mobilisation in the current business arrangement from 12 (substantial) to 8 (moderate).

### **2.2 New Risk Added: Workforce Planning R15**

- 2.2.1 The impact of the recent McCloud and Sargeant cases have become clearer and the risks associated with affordability of public sector pensions, earlier retirement age, requirement for remedy and additional administrative burdens.
- 2.2.2 These have added to other risks associated with the workforce including the loss of experienced staff earlier than expected. The resulting score elevates this Risk to the Corporate Risk Register.
- 2.2.2 Officers will be monitoring developments and are aware of the employment tribunal declaration and its impact on the Wholetime Firefighter retirement profile and the potential financial impact,

### **2.3 Proposed Removal of Risk: the UKs exit from the EU R12**

- 2.3.1 Given the uncertainty around the Brexit process and the increased risk of disruption as a result SLT felt this important to be added to the Risk Register. Locally work is being led by Sussex Resilience Forum and a Gold Group has continued to meet within the Service which is linking with work being conducted nationally through NFCC.
- 2.3.2 Follow the recent general election chances of a no deal departure from the EU have greatly decreased, while work continued to mitigate a worst case scenario. Due to these mitigations being in place scoring of this risk has brought it down to 4 tolerable.

2.3.3 With the score falling below the threshold of remaining on the Corporate Risk Register, it is proposed to remove the risk but still to be monitored by the Assistant Chief Fire Officer.